



State Form 46651 (R22/ 2/15)  
Approved by State Board of Accounts 2014

# INDIANA HORSE RACING COMMISSION

## Trainer License Application

For use if you are seeking a Trainer License.  
Please check appropriate boxes below.

OFFICE USE ONLY

License Year:

New or Renewal

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Total Fees: \_\_\_\_\_

Payment: \_\_\_\_\_

Clerk: \_\_\_\_\_ F.P. \_\_\_\_\_

Reviewed by: \_\_\_\_\_

IHRC License Number

License Type & Breed				
<input type="checkbox"/>	Trainer . . . . .	(\$35)	<input type="checkbox"/>	Standardbred
<input type="checkbox"/>	Trainer/Driver . . . . .	(\$60)	<input type="checkbox"/>	Thoroughbred
<input type="checkbox"/>	Owner/Trainer . . . . .	(\$60)	<input type="checkbox"/>	Quarter Horse
<input type="checkbox"/>	Owner/Trainer/Driver . . . . .	(\$60)		

*Failure to completely respond to all application questions may result in a pended or refused application. If question not relevant, please indicate N/A.*

1. Name of applicant \_\_\_\_\_  
Last First Middle Maiden

2. Have you been known by another name? If yes, please list \_\_\_\_\_

3. Are you married?  No  Yes \_\_\_\_\_  
*(Please give full name of spouse on line above, including maiden name)*

4. Permanent address: \_\_\_\_\_  
Street  
City State/Province Zip/Country

5. Local address: \_\_\_\_\_  
*(Only complete this question if Permanent Address differs from Local)*  
City State/Province Zip/Country

6. Phone numbers: \_\_\_\_\_  
Home # Cell # Business # Fax #

7. Person to be notified in case of emergency: \_\_\_\_\_ Telephone: ( )

8. Are you a U.S. Citizen?  Yes  No - What country are you from: \_\_\_\_\_  
Immigration registration number (if applicable) A- \_\_\_\_\_

9. List latest dates fingerprinted\* and what states printed you: \_\_\_\_\_  
\* Fingerprints may be necessary. Contact the Licensing Office for requirements. Month & Year(s) Printed In what State(s)

10. Social Security Number	Gender	Height	Weight	Color Hair	Color Eyes	Birth Date	Age*
_____ <i>(optional)</i>							

*Social Security Number is being requested to pursue statutory responsibilities and is voluntary.*

11. USTA Number \_\_\_\_\_ USTA Exp. Date \_\_\_\_\_  
\* (USTA question above pertains to Standardbred licensees only. Please circle designation to the right.)

Trainer Designation:	G	L	CD
Driver Designation:	A	P	QF CD

12. Give the following information relative to your current employer. If self-employed, so indicate:

Employment Dates	Name of Employer	Address (Street, City, State, Zip)
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13. Have you been previously licensed by any other racing jurisdiction (excluding Indiana)? If yes, please, give the following information on current and most recent license(s):

Date	Type (occupation)	State/Province/Country	License Number
(a) _____	_____	_____	_____
(b) _____	_____	_____	_____

14. If married, has your spouse been previously licensed by another racing jurisdiction? If yes, give the following information on his/her current and most recent license(s). If not married, please check box:  Not Married

Date	Type (occupation)	State/Province/Country	License Number
(a) _____	_____	_____	_____
(b) _____	_____	_____	_____

15. a)  Yes  No Have you ever been **SUSPENDED** for more than five (5) days?  
 b)  Yes  No Have you ever been **FINED** over \$100?  
 c)  Yes  No Has your racing license (or your spouse's) ever been **DENIED** or **REVOKED**?  
 d)  Yes  No Do you (or your spouse) have **PENDING** racing violations?  
 e)  Yes  No Have you or your spouse ever been **RULED OFF** or **BARRED** from a race track?

If any question in 15 a, b, c, d, or e was answered as **YES**, you **must** provide the following for each incident:

Date	State	Track	Specific Violation
(1) _____	_____	_____	_____
(2) _____	_____	_____	_____

16. a)  Yes  No Have you (or your spouse) ever been **ARRESTED**? You must answer **YES**, even if charges were dropped or dismissed.  
 b)  Yes  No Are you (or your spouse) currently on **PAROLE** or **PROBATION**?  
 c)  Yes  No Are there **CRIMINAL** charges currently pending against you?

If any question in 16 a, b or c was answered as **YES**, you **must** provide the following for each incident:

Date of Arrest	State	Arresting Agency	Offense	Outcome/Sentence
(1) _____	_____	_____	_____	_____
(2) _____	_____	_____	_____	_____
(3) _____	_____	_____	_____	_____

*If additional space is needed in relation to any of the questions above, please use a separate sheet of paper and submit it with this form.*

### 17. IHRC Rules Require Worker's Compensation Act Compliance-

Licensed employers shall carry worker's compensation insurance covering their employees as required by 71 IAC 5-1-10. Please note the employment affidavit within this application waiver. If you are not sure whether you need worker's compensation insurance coverage, please contact your insurance agent, the Worker's Compensation Board in the state where your business is domiciled, or the Indiana Worker's Compensation Board at 317-232-3808.

### 18. Employment and Employing Help:

Trainers operating within restricted areas of licensed racetracks shall ensure that they (and their employees) are licensed. A Trainer shall ensure that each owner for whom he or she trains applies for a license. A horse in a trainer's care shall not start in a race unless the Owner has a license on file with the commission. It shall be a trainer's responsibility to maintain with the commission up-to-date names of owners, current employees, and others having access to the trainer's assigned premises. Such information shall be given by completing questions 18 a & b and question 19 a, b, c and d. This application shall contain all information considered pertinent by the commission. Changes in ownership of horses, new or discharged grooms, additions and/or deletions of horses noted on this form must be reported to the licensing office using the proper roster update form or application change form, whichever is applicable.

a. Assistant Trainer: \_\_\_\_\_ Phone: ( ) \_\_\_\_\_

b. Please list your **Stable Employees** below:

Employee(s) Name	IHRC License #	Job Title	Dorm Room#

19. **Statement of Ownership**

All names below must read as they are registered with the USTA or as shown on Jockey Club or AQHA papers. **NO** owner may be licensed as a horse **OWNER** unless s/he, during the period of licensure is the owner or lessee of record of a properly registered racehorse(s); or has an interest as a part owner or lessee of a properly registered racehorse.

a. Statement of Ownership (horses the **applicant currently OWNS** within the USTA/AQHA/Jockey Club)

Horse(s) Name	Age	Owner(s)	% Owned	Breed TB/QH/SB

b. Are any horses above leased?  No  Yes If yes, please list below:

Horse(s) Name	Lessor (current owner of horse)

c. Horses **you TRAIN** for an outside client (*horses not owned by you, but trained by you*)

Horse(s) Name	Age	Owner(s)	% Owned	Breed TB/QH/SB

d. If you listed a **Stable Name** or **Ownership Entity** (a partnership, corporation, etc.) as owner of a horse above, please tell us about the **individual persons** under that name holding any interest in those horses. Please check with each state in which you plan to race, to determine if they require a separate **Stable or Entity** registration form be filed, in addition to this application.

Horse(s) Name	Owner(s)	% Owned

20. Please indicate the following: (If both (a) and (b) below are applicable to you, please provide all information requested.)

(a) I will stable on the grounds.....Barn(s) # \_\_\_\_\_ Stall Assignment(s) # \_\_\_\_\_

(b) I will be shipping in from: (complete box below)

<i>Name of Facility &amp; Property Owner</i>	<i>Street Address</i>	<i>City</i>	<i>State</i>	<i>Zip</i>

If additional space is needed in relation to any of the questions above, please use a separate sheet of paper and submit it with this form.

# Indiana Horse Racing Commission Affidavit

**I understand** that participation in racing in Indiana is a privilege, not a right, that the license issued pursuant to this Application is subject to conditions precedent as set out in the applicable Indiana Rules and Regulations, and that my failure to comply therewith, including but not limited to misstatements or omissions in the foregoing application, shall be grounds for immediate revocation or suspension of such license. By acceptance of said license, I agree to abide by the statutes of the State of Indiana relating to racing, the applicable Indiana Rules and Regulations and rulings or decisions of the Judges/Stewards with the knowledge that rulings or decisions of the Judges/Stewards shall remain in force until reversed or modified by the Indiana Horse Racing Commission.

**I hereby acknowledge** that I will be subject to the searches, either in my presence or absence, provided for in Indiana Code 4-31-13, as amended, and the Indiana Rules and Regulations that authorize personal inspections, inspection of any personal property, and inspections of premises and property related to my participation in a race meeting by persons authorized by the Indiana Horse Racing Commission. I also acknowledge that I may be requested to provide a breath or urine sample in accordance with Indiana Code 4-31-8, as amended, and the applicable Indiana Rules and Regulations. I further acknowledge that the Indiana Horse Racing Commission may seize any article or substance which is found in my possession or control or in a location under my control which may be forbidden or is against the applicable Indiana Rules and Regulations. I hereby waive all claims and remedies - with the exception of those provided for by the Indiana Administrative Orders and Procedures Act (contained at Indiana Code 4-21.5-1, et seq.), and the applicable Indiana Horse Racing Commission Rules arising therefrom - against the Indiana Horse Racing Commission and its members, employees and agents and the racing association on whose premises the search and/or seizure is made and the officials, employees and agents of such association.

**I agree to waive** confidentiality related to an animal's veterinary medical records as outlined in I.C. 25-38.1-4-5.5(d), I.C. 5-14-3-4, and any other pertinent rule or law regulating horse racing and veterinary records in Indiana as it applies to a disciplinary action before the Commission.

**Worker's Compensation Coverage Requirement:** I am participating in pari-mutuel racing in the state of Indiana. I am currently licensed or have submitted an application for licensure to the Indiana Horse Racing Commission ("IHRC"). I acknowledge that both Indiana state law (I.C. 22-3-5-1) and IHRC regulations (71 IAC 5-1-10) require that employers provide worker's compensation for employees. I attest that I have worker's compensation coverage for my employees and will provide to the IHRC a Certificate of Coverage that identifies the IHRC as the Certificate Holder. I further attest that if I currently do not have employee(s) but hire employee(s) at some point during the licensing period, I will purchase worker's compensation coverage for the entirety of their employment and provide proof of coverage to the IHRC. I understand that within 24 hours of the discharge of a licensed worker or employee, I will provide written notification to the IHRC and surrender the worker or employee's photo I.D. badge.

**Employment Verification:** I am currently licensed or have submitted an application for a license to the Indiana Horse Racing Commission ("IHRC"). I have completed the Employment Eligibility Verification Form ("Form I-9") required by the Immigration Reform and Control Act ("IRCA") for each of my employees required to be licensed by the IHRC. I agree to complete a Form I-9 for each new employee I hire during this calendar year who is required to be licensed by the IHRC. I agree to make available for review the redacted Form I-9 for each of my employees required to be licensed by the IHRC to the IHRC upon request. Within 24 hours of the discharge of a licensed worker or employee, I will provide written notification to the IHRC and surrender the worker or employee's photo I.D. badge. I understand that failure to abide by the terms of this affidavit or the IRCA may result in the initiation of a disciplinary action against me by the Indiana Horse Racing Commission.

**I hereby certify** that I have read the foregoing Application & Affidavit and affirm that every statement contained therein is true and correctly and completely set forth. I do hereby authorize the Indiana Horse Racing Commission, the Indiana State Police, the Indiana State Department of Revenue and the Federal Bureau of Investigations to investigate and verify all information contained in this Application.

\_\_\_\_\_  
Signature of Applicant \*

/ /  
Date

\_\_\_\_\_  
E-Mail Address

**Standardbred Racing**  
Indiana Horse Racing Commission  
c/o Hoosier Park, 4500 Dan Patch Circle  
Anderson, IN 46013  
P: 765-609-4855 F: 765-683-2568

~OR~

**Thoroughbred/Quarter Horse Racing**  
Indiana Horse Racing Commission  
c/o Indiana Grand, 4425 N 200 W  
Shelbyville, IN 46176  
P: 317-713-3350 F: 317-713-3355